Secretary of the State File Number

6353

Regulation of the

Insurance Department

Concerning

Continuing Education for Insurance Producers

Regulations adopted after July 1, 2013, become effective upon posting to the Connecticut eRegulations System, or at a later date if specified within the regulation.

Posted to the Connecticut eRegulations System on January 13, 2022

EFFECTIVE DATE January 13, 2022

Approved by the Attorney General on October 27, 2021

Approved by the Legislation Regulation Review Committee on **December 21, 2021**

Electronic copy with agency head certification statement electronically submitted to and received by the Office of the Secretary of the State on

January 6, 2022

Form ICM-ECOPY (NEW 6/2015) State of Connecticut Secretary of the State



IMPORTANT NOTICE FOR CONNECTICUT STATE AGENCIES

This form should be used only for regulations first noticed on and after March 23, 2015.

Electronic Copy Certification Statement

(Submitted in accordance with the provisions of section 4-172 of the Connecticut General Statutes)

Regulation of the

Insurance Department

Concerning

Continuing Education for Insurance Producers

Approved by the Legislative Regulation Review Committee: **Dec. 21, 2021**eRegulations System Tracking Number: **PR 2021 08**

I hereby certify that the electronic copy of the above-referenced regulation submitted herewith to the Secretary of the State is a true and accurate copy of the regulation approved in accordance with sections 4-169 and 4-170 of the *Connecticut General Statutes*.

And I further certify that in accordance with the approval of Legislative Regulation Review Committee, all required technical corrections, page substitutions and deletions, if any, have been incorporated into said regulation.

In testimony whereof, I have hereunto set my hand on **12/30/2021**.

Andrew N. Mais

Insurance Commissioner

Insurance Department

State of Connecticut Regulation of Insurance Department Concerning Continuing Education for Insurance Producers

Section 1. Section 38a-782a-2 of the Regulations of Connecticut State Agencies is amended to read as follows:

Sec. 38a-782a-2. Requirements for licensees

- (a) Every resident and nonresident producer shall furnish evidence to the commissioner in a manner prescribed by the commissioner that the continuing education requirements of this regulation have been satisfied.
- (b) Except as provided by section 38a-782a-13 of the Regulations of Connecticut State Agencies, all producers shall complete a minimum of twenty-four hours of continuing education credits each biennium, of which a minimum of three credit hours of Connecticut insurance law and regulations, or ethics are required. [Of the total, a minimum of six credit hours are required for each line of authority held by the producer on the first day of each biennium.] Instructors of approved continuing education courses shall earn the same number of credit hours for instructing as the students receive for successfully completing the course.

Section 2. Section 38a-782a-10 of the Regulations of Connecticut State Agencies is amended to read as follows:

Sec. 38a-782a-10. Carryover credit

[No credit] <u>Twenty-four</u> hours <u>of continuing education credits</u> may be carried over from one biennium to the next.

R-39 Rev. 02/2012

Statement of Purpose

To amend the regulations relating to requirements for continuing education applicable to insurance producers by (1) eliminating the requirement that a minimum of six credit hours be completed for each line of authority held by each producers; and (2) permit producers to carry over to the subsequent biennium twenty-four credit hours.

Form Agency-Cert-PR-TA-ICM (Rev 11/2017) State of Connecticut Secretary of the State



IMPORTANT NOTICE FOR CONNECTICUT STATE AGENCIES

This form is to be used for proposed permanent and technical amendment regulations only and must be completed in full.

AGENCY CERTIFICATION

Insurance Department

Proposed Regulation Concerning

Continuing Education for Insurance Producers

eRegulations System Tracking Number PR2021-008	
I hereby certify the following:	
(1) The above-referenced regulation is proposed pursuant to the following statut authorities: C.G.S. sec. 38a-782a	tory authority or
For technical amendment regulations proposed without a comment period, complete #2 below,	then skip to #8.
(2) As permitted by Section 4-168(h) of the <i>Connecticut General Statutes</i> , the ag proceed without prior notice or hearing and posted the text of the proposed technical regulation on eRegulations System website on <<select and="" b="" date="" enter="" of="" posti<="" the=""></select>	amendment
For all other non-emergency proposed regulations, complete #3 - #7 below, then complete #8)	
(3) The agency posted notice of intent with a specified comment period of not less the eRegulations System website on 9/2/2021 .	s than 30 days to
(4) (Complete one) \boxtimes No public hearing held or was required to be held. OR \square C hearings were held on: < <select and="" dates="" enter="">></select> .	One or more public
(5) The agency posted notice of decision to move forward with the proposed regulations System website on 10/6/2021 .	ulation to the
(6) (Complete one) ☐ No comments were received. OR ☐ Comments were received and the agency posted the statements specified in subdivisions (1) and (2) of CGS Section 4-168(e) to the eRegulations System website on 10/7/2021 .	
(7) The final wording of the proposed regulation was posted to the eRegulations 9/2/2021.	System website on
(8) Subsequent to approval for legal sufficiency by the Attorney General and app Legislative Regulation Review Committee, the final regulation shall be effective	roval by the
(Check one and complete as applicable)	
igtimes When posted to the eRegulations System website by the Secretary of the	State.
OR On (Date must be a <u>specific calendar date</u> not less than 11 days after submission to the S	ecretary of the State)
L'	10/08/2021
SIGNED Insurance Commissioner OFFICIAL TITLE	DATE
(Head of Board, Agency or Commission,	

or duly authorized deputy)

OFFICE OF THE ATTORNEY GENERAL **REGULATION CERTIFICATION**

Agency: Connecticut Insurance Department

REGULATION NUMBER PR2021-008

This Regulation is hereby APPROVED by the Attorney General as to legal sufficiency in accordance with Connecticut General Statutes **§ 4-169.**

October 27, 2021 **DATE:**

Joseph Rubin, Rubin, Asst. Dep. A.G.
Asst. Dep. A.G.
Date: 2021.10.27 09:56:43

Signed:

Joseph Rubin

Assistant Deputy Attorney General

Duly Authorized

The Connecticut General Assembly

Legislative Regulation Review Committee

Senator James Maroney Senate Chair



Representative Nicole Klarides-Ditria House Chair

Official Record of Committee Action

December 21, 2021

Agency: Insurance Department

Description: Continuing Education for Insurance Producers

LRRC Regulation Number: 2021-018
eRegulation Tracking Number: PR2021-008

The above-referenced regulation has been

Approved with Technical Corrections

by the Legislative Regulation Review Committee in accordance with CGS Section 4-170.

Kirstin L. Breiner Committee Administrator



State of Connecticut Office of the Secretary of the State

Confirmation of Electronic Submission

Re: Regulation of the Insurance Department concerning Continuing Education for Insurance Producers eRegulations System Tracking Number PR2021-008
Legislative Regulation Review Committee Docket Number 2021-018

The above-referenced regulation was electronically submitted to the Office of the Secretary of the State in accordance with Connecticut General Statutes Section 4-172 on January 6, 2022.

Said regulation is assigned Secretary of the State File Number 6353.

The effective date of this regulation is January 13, 2022.

Denise W. Merrill Secretary of the State January 13, 2022

w. Mink

By:

/s/ Christopher R. Drake

Christopher R. Drake Director, Business Services Division