

Secretary of the State File Number

6231

Regulation of the
Insurance Department

Concerning
**Fee for Advance Notice of Regulation
Adoption Proceedings**

Regulations adopted after July 1, 2013, become effective upon
posting to the Connecticut eRegulations System, or at a
later date if specified within the regulation.

Posted to the Connecticut eRegulations System on **December 22, 2016**

EFFECTIVE DATE
December 22, 2016

Approved by the Attorney General on
October 14, 2016

Approved by the Legislative Regulation Review Committee on
December 20, 2016

Electronic copy with agency head certification statement electronically
submitted to and received by the Office of the Secretary of the State on
December 21, 2016

The text of this approved regulation will be published in the Connecticut Law Journal

Form ICM-ECOPY (NEW 6/2015)
State of Connecticut
Secretary of the State



IMPORTANT NOTICE FOR CONNECTICUT STATE AGENCIES
This form should be used only for regulations first noticed on and after March 23, 2015.

Electronic Copy Certification Statement

(Submitted in accordance with the provisions of section 4-172 of the Connecticut General Statutes)

Regulation of the
Insurance Department
Concerning
Fee for Advance Notice of Regulation Adoption Proceedings

Approved by the Legislative Regulation Review Committee: **December 20, 2016**
eRegulations System Tracking Number: **PR-2016-021**

I hereby certify that the electronic copy of the above-referenced regulation submitted herewith to the Secretary of the State is a true and accurate copy of the regulation approved in accordance with sections 4-169 and 4-170 of the *Connecticut General Statutes*.

And I further certify that in accordance with the approval of Legislative Regulation Review Committee, all required technical corrections, page substitutions and deletions, if any, have been incorporated into said regulation.

In testimony whereof, I have hereunto
set my hand on **December 21, 2016**.

Katharine L. Wade

Katharine L. Wade
Commissioner
Insurance Department

State of Connecticut
Regulation of
Insurance Department
Concerning
Fee for Advance Notice of Regulation Adoption Proceedings

Section 38a-8-73 of the Regulations of Connecticut State Agencies is amended to read as follows:

Sec. 38a-8-73. Request for paper copy advance notice of regulation adoption proceedings

(a) **Filing and form.** Any person or group may file a request with the Commissioner for advance notice of regulation adoption proceedings. The request shall be clearly titled “Request for Advance Notice of Regulation Adoption Proceedings” and shall state in order:

- (1) the name of the person or group making the request,
- (2) the address of the person or group to which [responses] paper copies should be mailed; [and]
- (3) the date of the request[.]; and

(4) that the person or group is aware of the option to subscribe to electronic advance notification of department regulation adoption proceedings and chooses to request paper copies instead.

(b) **[Time and form of notice.** The Commissioner shall give at least thirty (30) days’ notice of the adoption of a regulation. The notice shall include:]

(1) [either a statement of the terms or of the substance of the proposed regulation or description sufficiently detailed so as to apprise persons likely to be affected of the issues and subjects involved in such proposed regulation;]

(2) [a statement of the purposes for which the regulation is proposed;]

(3) [a reference to the statutory authority for such proposed regulation; and]

(4) [the time when, the place where, and the manner in which interested persons may present their views thereon, and such additional information as may be required by law.]

(c)(b) **Effective period.** The request for paper copies of advance notice of regulation adoption [proceeding] proceedings shall be in effect only for the calendar year in which it was filed and all requests shall expire on December 31 each year.

(d) **[Fees.** The fee for providing advance notice of regulation adoption proceedings shall be \$20.00 per year and each request shall be accompanied by a check or money order payable to the Treasurer, State of Connecticut.]

R-39 Rev. 02/2012

Statement of Purpose

The purpose is to eliminate the charging of a fee to receive advance notice of regulation adoption proceedings to conform with PA 14-187 and to additionally remind people that they may instead use the “e-alert” feature from the eRegulations system rather than receiving advance notice from the Insurance Department.

IMPORTANT NOTICE FOR CONNECTICUT STATE AGENCIES

This form is to be used for proposed permanent and technical amendment regulations only and must be completed in full.

AGENCY CERTIFICATION**Insurance Department**

Proposed Regulation Concerning

Fee for Advance Notice of Regulation Adoption ProceedingseRegulations System Tracking Number **TA2016-021****I hereby certify the following:**

(1) The above-referenced **technical amendment regulation** is proposed pursuant to the following statutory authority or authorities: **CGS Sections 38a-8 and 4-168 (a)**.

For technical amendment regulations proposed without a comment period, complete #2 below, then skip to #8.

(2) As permitted by Section 4-168(h) of the *Connecticut General Statutes*, the agency elected to proceed without prior notice or hearing and posted the text of the proposed technical amendment regulation on eRegulations System website on **October 11, 2016**.

For all other non-emergency proposed regulations, complete #3 - #7 below, then complete #8)

(3) The agency posted notice of intent with a specified comment period of not less than 30 days to the eRegulations System website on **<<select and enter the date of posting>>**.

(4) *(Complete one)* No public hearing held or was required to be held. **OR** One or more public hearings were held on: **<<select and enter dates>>**.

(5) The agency posted notice of decision to move forward with the proposed regulation to the eRegulations System website on **<<select and enter the date of posting>>**.

(6) *(Complete one)* No comments were received. **OR** Comments were received and the agency posted the statements specified in subdivisions (2) and (3) of CGS Section 4-168(e) to the eRegulations System website on **<<select and enter date>>**.

(7) The final wording of the proposed regulation was posted to the eRegulations System website on **<<select and enter date>>**.

(8) Subsequent to approval for legal sufficiency by the Attorney General and approval by the Legislative Regulation Review Committee, **the final regulation shall be effective**

(Check one and complete as applicable)

When posted to the eRegulations System website by the Secretary of the State.

OR On _____

(Date must be a specific calendar date not less than 11 days after submission to the Secretary of the State)

Katherine L. Wade

SIGNED

*(Head of Board, Agency or Commission,
or duly authorized deputy)*

Commissioner

OFFICIAL TITLE

10/12/16

DATE

**OFFICE OF THE ATTORNEY GENERAL
REGULATION CERTIFICATION**

Agency Connecticut Insurance Department

REGULATION NUMBER TA2016-021

This Regulation is hereby APPROVED by the Attorney General as to legal sufficiency in accordance with Connecticut General Statutes Section 4-169.

DATE: 10/14/2016

**Signed: 
Joseph Rubin, Associate Attorney General
*Duly Authorized***

The Connecticut General Assembly

Legislative Regulation Review Committee

Senator Clark Chapin
Senate Chair



Representative Brian Becker
House Chair

Official Record of Committee Action

December 20, 2016

Agency: Insurance Department
Description: FEE FOR ADVANCE NOTICE OF REGULATION
ADOPTION PROCEEDINGS
LRRC Regulation Number: 2016-028
eRegulation Tracking Number: PR2016-021

The above-referenced regulation has been

Approved with Technical Corrections

by the Legislative Regulation Review Committee in accordance
with CGS Section 4-170.

Richard Eighme
Committee Administrator



State of Connecticut
Office of the Secretary of the State

CONFIRMATION OF ELECTRONIC SUBMISSION

Re: Regulation of the Insurance Department concerning
Fee for Advance Notice of Regulation Adoption Proceedings
eRegulations System Tracking Number TA2016-021
Legislative Regulation Review Committee Docket Number 2016-028
Secretary of the State File Number 6231

The above-referenced regulation was electronically submitted to the Office of the Secretary of the State on December 21, 2016, in accordance with Connecticut General Statutes Section 4-172.

The effective date of this regulation is December 22, 2016.

A handwritten signature in black ink that reads "Denise W. Merrill".

Denise W. Merrill
Secretary of the State
December 22, 2016

By:

/s/ Barbara Sladek
Barbara Sladek
Records and Legislative
Services Coordinator