

**Sec. 38a-138-11. Alternative and consolidated registrations**

(a) Any authorized insurer may file a registration statement on behalf of any affiliated insurer or insurers which are required to register under section 38a-135 of the Connecticut General Statutes. A registration statement may include information not required by the Connecticut Insurance Holding Company System Regulatory Act, sections 38a-129 to 38a-140, inclusive, of the Connecticut General Statutes, regarding any insurer in the insurance holding company system even if such insurer is not authorized to do business in this state. In lieu of filing a registration statement on Form B, the authorized insurer may file a copy of the registration statement or similar report which it is required to file in its state of domicile, provided:

(1) The statement or report contains substantially similar information required to be furnished on Form B; and

(2) The filing insurer is the principal insurance company in the insurance holding company system.

(b) The question of whether the filing insurer is the principal insurance company in the insurance holding company system is a question of fact and an insurer filing a registration statement or report in lieu of Form B on behalf of an affiliated insurer shall set forth a brief statement of facts which will substantiate the filing insurer's claim that it, in fact, is the principal insurer in the insurance holding company system.

(c) With the prior approval of the Commissioner, an unauthorized insurer may follow any of the procedures of an authorized insurer under subsection (a) of this section.

(d) Any insurer may take advantage of the provisions of subsection (h) or (i) of section 38a-135 of the Connecticut General Statutes without obtaining the prior approval of the Commissioner. The Commissioner, however, reserves the right to require individual filings if the Commissioner deems such filings necessary in the interest of clarity, ease of administration or the public good.

(Effective July 26, 1993; Amended June 27, 2013; Amended December 3, 2018)