

Sec. 21a-190k-7. Solicitation notice

(a) The solicitation notice required by section 21a-190f(c) of the Connecticut General Statutes shall be filed on a form prescribed by the commissioner, which may include:

- (1) The name, contact information and registration number of the paid solicitor;
- (2) the name, contact information and registration number of the charitable organization;
- (3) the dates on which the soliciting will begin and end;
- (4) the minimum percentage of gross receipts guaranteed to the charitable organization by the contract required by section 21a-190f(d) of the Connecticut General Statutes;
- (5) the method of solicitation that the paid solicitor will employ (e.g. telephone, mail, electronic media, print media, door-to-door, or other);
- (6) if the solicitation will be conducted by telephone the notice shall disclose:
 - (A) The address and telephone number for each location from which calls will be made and the name of the office manager or person in charge at each location; and
 - (B) the names and residence addresses of all individuals who will solicit during the campaign;
- (7) whether any person named in subdivision (6) of this subsection has ever been convicted by any court of any state or of the United States of any felony, or of any misdemeanor involving dishonesty or arising from the conduct of a solicitation for a charitable organization or charitable purpose and, if any of the foregoing has occurred, a detailed explanation of the circumstances;

(8) whether the solicitation will include the sale of goods or services and, if goods or services will be sold, a description of the goods or services, and if tickets to an event will be sold, the type of event, the date of the event and the location of the event; and

(9) the account number, bank name and address for each bank account where receipts for the solicitation campaign will be deposited.

(b) If soliciting is to be made orally, such as by telephone, the text of the oral presentation to be used shall be attached to the solicitation notice.

(c) A copy of the written pledge confirmation required by section 21a-190f(f) of the Connecticut General Statutes (e.g. a receipt or invoice) shall be attached to the solicitation notice.

(d) A copy of the contract described in section 21a-190f(d) of the Connecticut General Statutes shall be filed with the solicitation notice if the contract is not already on file with the department.

(e) The truth of the solicitation notice shall be certified by an officer of the paid solicitor. A representative of the charitable organization shall certify to the truth of the solicitation notice under penalty of false statement.

(Adopted effective June 6, 2001; Amended September 11, 2019)