

Sec. 38a-272-9. Information required to be filed and kept current

(a) A producer or insurer required to file under Sections 38a-272-4 through 38a-272-7 shall file all of the following information on a form prescribed by the Commissioner:

(1) A copy of the organizational documents of the reportable MEWA, employee leasing firm or collectively bargained arrangement, including the articles of incorporation and bylaws, partnership agreement or trust instrument;

(2) A copy of each insurance or reinsurance contract which purports to insure or guarantee all or any portion of benefits or coverage offered by the reportable MEWA, employee leasing firm or collectively bargained arrangement to any person who resides in this state;

(3) Copies of the benefit plan description and other materials intended to be distributed to potential purchasers; and

(4) The names and addresses of any person performing or expected to perform the functions of a third party administrator for the reportable MEWA, employee leasing firm or collectively bargained arrangement.

(b) A filing under this section is ineffective and is not in compliance with this regulation if it is incomplete or inaccurate in any material respect.

(c) A person who has made a filing under this regulation shall amend such filing within thirty (30) days of the date the person becomes aware, or exercising due diligence should have become aware, of any material change to the information required to be filed. The amended filing shall accurately reflect the material changes to the information originally filed.

(d) (1) A reportable MEWA, employee leasing arrangement, or collectively bargained arrangement may voluntarily file with the Commissioner the information specified in Subsection (a) of this section on a form prescribed by the Commissioner, providing the filing is not incomplete or inaccurate in any material respect and is accompanied by a statement signed by an officer of such organization stating that the voluntary filing is made with the understanding that the organization will amend the filing within thirty (30) days of the date of any change to the information required to be filed.

(2) When a filing is made pursuant to Paragraph (1) of this subsection the Commissioner may, in his discretion, elect to exempt producers or insurers from the information filing requirements of this section so long as the provisions of Subsections (b) and (c) of this section are complied with.

(Effective September 25, 1992; Amended March 28, 1996)