

Sec. 21a-408-21. Producer applications

(a) A producer shall submit an application form and the fees required by section 21-408-29 of the Regulations of Connecticut State Agencies, as well as all other required documentation on forms prescribed by the commissioner.

(b) The applicant shall provide the following information in the application process and maintain the following records, as applicable:

- (1) The name and address of the applicant and the applicant's producer backers, if any;
- (2) The location for the production facility that is to be operated under such producer license;
- (3) A financial statement setting forth all elements and details of any business transactions connected with the application;
- (4) Details of any felony conviction or of any criminal conviction related to controlled substances or legend drugs of the applicant or applicant's backers;
- (5) Details regarding the applicant's plans to maintain adequate control against the diversion, theft or loss of marijuana;
- (6) Documents sufficient to establish that the applicant is authorized to conduct business in Connecticut and that all applicable state and local building, fire and zoning requirements and local ordinances will be met; with regard to zoning, it shall be sufficient to establish that the proposed location is in a zone where a pharmaceutical manufacturing facility would be allowed;
- (7) Permission for the department to conduct a background check on the applicant and the applicant's backers, if any, for the purpose of determining if such applicant and applicant's backers are suitable to own and operate a producer or production facility;
- (8) Any proposed business and marketing plans, including expected production capacity;
- (9) Text and graphic materials showing the exterior appearance of the proposed production facility and its site compatibility with commercial or residential structures already constructed or under construction within the immediate neighborhood;
- (10) A blueprint of the proposed production facility to be operated by the applicant, which shall, at a minimum, show and identify:
 - (A) The square footage of the areas where marijuana is to be grown;
 - (B) The square footage of the areas where marijuana is to be harvested;
 - (C) The square footage of the areas where marijuana is to be packaged and labeled;
 - (D) The square footage of the areas where marijuana is to be produced and manufactured;
 - (E) The square footage of the overall production facility;
 - (F) The square footage and location of areas to be used as storerooms or stockrooms;
 - (G) The location of any approved safes or approved vaults that are to be used to store marijuana;
 - (H) The location of the toilet facilities;
 - (I) The location of a break room and location of personal belonging lockers; and
 - (J) The location of all areas that may contain marijuana that shows walls, partitions, counters and all areas of ingress and egress. The blueprint shall also reflect all production, propagation, vegetation, flowering, harvesting, and manufacturing areas;
- (11) Proof acceptable to the commissioner that the applicant can establish and maintain an escrow account in a financial institution in Connecticut, a letter of credit drawn from a

financial institution in Connecticut or a surety bond issued by a surety company licensed by the state of Connecticut Department of Insurance and of a capacity and rating acceptable to the commissioner;

(12) Documents related to any compassionate need program the producer intends to offer; and

(13) Such other documents and information reasonably required by the department to determine the applicant's suitability for licensing or to protect public health and safety.

(c) In the event any information contained in the producer license application or accompanying documents changes after being submitted to the department, the applicant shall notify the department in writing and provide corrected information in a timely manner so as not to disrupt the license selection process.

(d) The department may verify information contained in each application and accompanying documentation in order to assess the applicant's character and fitness to operate a production facility. The department may verify the information, investigate claims made by the applicant, and assess the applicant's character and fitness by, among other things:

(1) Contacting the applicant by telephone, mail, electronic mail or such other means as are reasonable under the circumstances;

(2) Conducting an on-site visit of the proposed production facility location or other production facility locations associated with the applicant or the applicant's producer backers;

(3) Conducting background checks or contacting references of the applicant, the applicant's producer backers and the producer backers' members, shareholders or investors;

(4) Contacting state regulators in any other states where the applicant, the applicant's producer backers and the producer backers' members, shareholders or investors are engaged in, or have sought to be engaged in, any aspect of that state's medical marijuana program; and

(5) Requiring a personal meeting with the applicant and the submission of additional information or documents.

(Effective September 6, 2013; Amended August 28, 2018)